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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

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UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	P-63-CIP/TEP0140-02
First Inventor	Gordon C. Dowen et al.
Title	BRAKE FOR TRANSAXLE AND METHOD OF ASSEMBLY
Express Mail Label No.	EL 717630486 US

APPLICATION ELEMENTS
See MPEP chapter 600 concerning utility patent application contents.ADDRESS TO:
Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)

2. Applicant claims small entity status.
See 37 CFR 1.27.

3. Specification *[Total Pages* **13**]
(preferred arrangement set forth below)
- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. Drawing(s) (35 U.S.C. 113) *[Total Sheets* **6**]
5. Oath or Declaration *[Total Pages* **3**]
a. Newly executed (original or copy)
b. Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
i. DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. Application Data Sheet. See 37 CFR 1.76

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
a. Computer Readable Form (CRF)
b. Specification Sequence Listing on:
i. CD-ROM or CD-R (2 copies); or
ii. paper
c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 CFR 3.73(b) Statement *(when there is an assignee)* Power of Attorney
11. English Translation Document (if applicable)
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. Other: Check No. **103153**

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

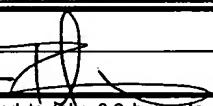
 Continuation Divisional Continuation-in-part (CIP) of prior application No.: **09/409,946**Prior application information: Examiner C. BuchananGroup / Art Unit: **3619**

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label **000832** or Correspondence address below
(Insert Customer No. or Attach bar code label here)

Name	John F. Hoffman BAKER & DANIELS			
Address	111 East Wayne Street Suite 800			
City	Fort Wayne	State	IN	
Country	US	Telephone	260-424-8000	
			Zip Code	46802
			Fax	260-460-1700

Name (Print/Type)	Brian C. Pauls	Registration No. (Attorney/Agent)	40,122
Signature			
Date	March 7, 2002		

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27TOTAL AMOUNT OF PAYMENT **\$740.00**

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Gordon C. Dowen et al.
Examiner Name	
Group Art Unit	
Attorney Docket No.	P-63-CIP/TEP0140-02

METHOD OF PAYMENT (check all that apply)

 Check Credit card Money Order Other None
 Deposit Account:Deposit Account Number **02-0385**Deposit Account Name **BAKER & DANIELS**

The Commissioner is authorized to: (check all that apply)

 Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) during the pendency of this application
 Charge fee(s) indicated below, except for the filing fee to the above identified deposit account.

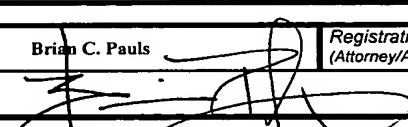
FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
105 740	201 370	Utility filing fee	740.00
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	
SUBTOTAL (1)		\$740.00	
2. EXTRA CLAIM FEES FOR UTILITY AND			
Extra Claims Fee from below Fee Paid			
Total Claims 19	-20** =	0	X 0.00 = 0.00
Independent Claims 3	-3** =	0	X 0.00 = 0.00
Multiple Dependent			
Large Entity Small Entity			
Fee Code (\$)	Fee Code (\$)	Fee Description	
103 18	203 9	Claims in excess of 20	
102 84	202 42	Independent claims in excess of 3	
104 280	204 140	Multiple dependent claim, if not paid	
109 84	209 42	** Reissue independent claims over original patent	
110 18	210 9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)		\$0.00	
*Reduced by Basic Filing Fee Paid			
SUBTOTAL (3)			

**or number previously paid, if greater; For Reissues, see above

Complete if applicable

SUBMITTED BY	Brian C. Pauls	Registration No. (Attorney/Agent)	40,122	Telephone	260-424-8000
Signature				Date	March 7, 2002

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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)Applicant(s): **Gordon C. Dowen et al.**

Docket No.

P-63-CIP/TEP0140-02

Serial No.

Filing Date

Examiner

Group Art Unit

Invention: **BRAKE FOR TRANSAKLE AND METHOD OF ASSEMBLY**

I hereby certify that the following correspondence:

New U.S. Continuation-in-Part Patent Application*(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under
37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

March 7, 2002*(Date)***Patrice Michele Potter***(Typed or Printed Name of Person Mailing Correspondence)*
*(Signature of Person Mailing Correspondence)*EL 717630486 US*("Express Mail" Mailing Label Number)*

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